



PIPER RUDNICK <sup>LLP</sup>  
1200 NINETEENTH STREET, NW  
WASHINGTON, DC 20036-2412  
TELEPHONE: 202-861-3900  
FACSIMILE: 202-223-2085

1753  
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DOCKET NO.: 5988-038-27

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Re: Serial No.: 10/087,735  
Applicant(s): Tomoki NAGAI, et al.  
Filing Date: March 5, 2002  
For: NOVEL CARBAZOLE DERIVATIVE AND CHEMICALLY  
AMPLIFIED RADIATION-SENSITIVE RESIN COMPOSITION  
Group Art Unit: 1753  
Examiner:

SIR:

Attached hereto for filing are the following papers:

**INFORMATION DISCLOSURE STATEMENT  
EUROPEAN SEARCH REPORT  
FORM PTO-1449  
CITED DOCUMENTS (9)**

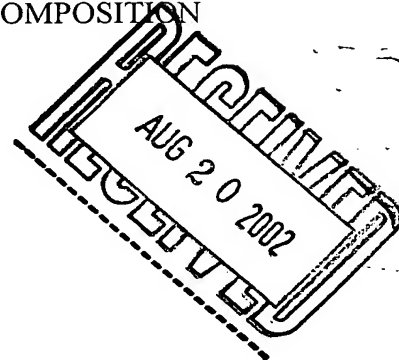
Our check in the amount of \$ 0 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 50-1442. Further, if these papers are not considered timely filed, then a request is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

PIPER RUDNICK LLP

Steven B. Kelber  
Attorney of Record  
Registration No.: 30,073

Christopher W. Raimund  
Registration No. 47,258



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION: Tomoki NAGAI, et al.

GROUP ART UNIT: 1753

SERIAL NUMBER: 10/087,735

EXAMINER:

FILED: March 5, 2002

FOR: NOVEL CARBAZOLE DERIVATIVE AND CHEMICALLY AMPLIFIED RADIATION-SENSITIVE RESIN COMPOSITION

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicant(s) wish(es) to disclose the following information.

REFERENCES

- Applicant(s) wish(es) to make of record the documents listed on the attached European Search Report and Form PTO-1449. Copies of the listed documents are attached, where required, as are either statements of relevancy or any readily available full or partial English translations of any non-English-language documents.

RELATED CASES

- Attached is a list of Applicant's(s') pending applications and issued patents which may be related to the present application. Copies of the documents, where required, are attached along with Form PTO-1449.

CERTIFICATION

The undersigned certifies that

- each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign or international patent office in a counterpart foreign or international application for the first time (to the knowledge of the undersigned, having made reasonable inquiry) not more than three months prior to the filing of this statement.
- no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign or international patent office in a counterpart foreign or international application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement.

BASIS FOR CONSIDERATION

This Information Disclosure Statement is filed:

- without fee and within three months of the filing date of the application.
- without fee and within three months of the date of entry of the U.S. national stage.
- without fee and before the mailing date of a first Office Action on the merits (to the knowledge of the undersigned).
- without fee and with the appropriate certification above.
- without fee and with a new CPA application.
- without fee and with a Request for Continued Examination.
- with fee and before the mailing date of any of a Final Office Action, Notice of Allowance or an action that otherwise closes prosecution (to the knowledge of the undersigned).
- with fee, appropriate certification above, and before payment of the Issue Fee.

DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 50-1442.

Respectfully submitted,

PIPER RUDNICK LLP

Steven B. Kelber  
Attorney of Record  
Registration No. 30,073

Christopher W. Raimund  
Registration No. 47,258

1200 Nineteenth Street, N.W.  
Washington, DC 20036-2412  
Telephone No. (202) 861-3900  
Facsimile No. (202) 223-2085

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